

| Substitute Form PTO-1449<br>(Modified)   |           | U.S. Department of Commerce<br>Patent and Trademark Office |                  | Attorney's Docket No.<br>24307-0019US1 /<br>2001P00031 WOUS |       | Application No.<br>10/788,901 |                            |
|--|-----------|--|------------------|---|-------|-------------------------------|----------------------------|
| <b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) |           |  |                  | Applicant<br>Wolfgang Pfeiffer                              |       |                               |                            |
|  |           |  |                  | Filing Date<br>February 27, 2004                            |       | Group Art Unit<br>2194        |                            |
| <b>U.S. Patent Documents</b>   |           |  |                  |   |       |                               |                            |
| Examiner Initial   | Desig. ID | Document Number  | Publication Date | Patentee  | Class | Subclass                      | Filing Date If Appropriate |
|  | A1        | 5,218,699  | 06/08/1993       | Brandle et al.  |       |                               |                            |
|  | A2        |  |                  |   |       |                               |                            |
|  | A3        |  |                  |   |       |                               |                            |
|  | A4        |  |                  |   |       |                               |                            |
|  | A5        |  |                  |   |       |                               |                            |
|  | A6        |  |                  |   |       |                               |                            |
|  | A7        |  |                  |   |       |                               |                            |
|  | A8        |  |                  |   |       |                               |                            |
|  | A9        |  |                  |   |       |                               |                            |
|  | A10       |  |                  |   |       |                               |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|   |           |                 |                  |                          |       |          | Yes         | No |
|   | B1        | EP 1 122 644 A1 | 08/08/2001       | EPO                      |       |          | N/A         |    |
|   | B2        |                 |                  |                          |       |          |             |    |
|   | B3        |                 |                  |                          |       |          |             |    |
|   | B4        |                 |                  |                          |       |          |             |    |
|   | B5        |                 |                  |                          |       |          |             |    |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |   |
|---|-----------|---|
| Examiner Initial  | Desig. ID | Document  |
|   | C1        | EPO Communication Pursuant to Article 94(3) EPC issued in European Application No. 01 123 052.1 – 2211 dated August 14, 2008, 6 pages   |
|   | C2        | Jerome Daniel, et al., "Active COM: An Inter-working Framework for CORBA and DCOM," IEEE, 1999, pages 211-222. XPO10352179 International Symposium on Distributed Objects and Applications (12 pages) |
|   | C3        |   |
|   | C4        |   |

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|--|-----------------|
| Examiner Signature   | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |